

CS Wealth Securities Limited 中策富滙證券有限公司

Service Fees and Transaction Charges 服務收費及交易費用

Last update: 29 Jan 2019

Securities Trade Services Fee

證券交易收費

	Telephone 電話	Internet/Mobile Apps 互聯網/流動應用程式
Brokerage Commission 經紀佣金	: 0.25% of transaction amount, minimum HK\$ 100 成交金額之 0.25%, 最低收費港幣 100 元	: 0.15% of transaction amount, minimum HK\$ 50 成交金額之 0.15%, 最低收費港幣 50 元
Stamp Duty 印花稅	: 0.1% of transaction amount (rounded up to the nearest dollar) 成交金額之 0.1% (不足1元亦作1元計)	
Transaction Levy 交易徵費	: 0.0027% of transaction amount 成交金額之0.0027%	
Trading Fee 交易費	: 0.005% of transaction amount, 成交金額之0.005%	
CCASS Fee 中央結算交收費	: 0.01% of transaction amount, minimum HK\$ 2, maximum HK\$ 500 成交金額之0.01%, 最低收費港幣 2元, 上限港幣 500元	

Scrip Handling and Settlement Related Fees

處理實物股票及交收相關費用

Physical Scrip Withdrawal Fee (for each stock) 提取實物股票費(單一股票計)	: HK\$ 5 per lot (minimum HK\$ 30) 每手港幣 5 元 (最低收費港幣 30 元)
Transfer Deed Stamp Duty 轉手紙釐印費	: HK\$ 5 per transfer deed 每張轉手紙港幣 5 元
Settlement Instruction Fee (stock deposit) 交收指示費(轉入股票)	: Waived 豁免
Settlement Instruction (SI) Fee 中央結算交收指示費用	: 0.10% of market value 貨值(以上日收市價計算)之 0.10%, 0.02% of market value 貨值(以上日收市價計算)之 0.02%,
Investor Settlement Instruction (ISI) Fee 投資者交收指示費用 (stock delivering out) (轉出股票)	: (based on previous closing price) minimum handling fee HK\$ 50 per stock 每單一股票最低收費港幣50元
Money Settlement Fee: Returned Cheque 款項交收費用: 退票	: HSBC Prime Rate + 5% per annum (minimum HK\$ 200) 年息為匯豐銀行最優惠利率 + 5% (最低收費港幣 200 元)
Compulsory Share Buy-Back 強制性補購股份	: 0.50% on consideration + trade related services charge + penalty HK\$300 成交金額之 0.50%加相關之交易費用及罰款港幣300元

Securities Information Services

證券資訊服務

Hong Kong Stock Streaming Plan (provided by etnet) 港股報價串流版(由經濟通提供)	: Monthly fee HK\$ 468 月費港幣 468元
Hong Kong Stock Snapshot Plan (provided by etnet) 港股報價點擊版(由經濟通提供)	: 每月首 300 次免費點擊即時港股報價, 其後每次HK\$0.07 First 300 free Snapshot Real Time Quote subsequent HK\$0.07/each

Nominee Services and Corporate Actions

代理人服務及企業行動

Scrip Fee 登記過戶費	: HK\$ 1.8 per lot (shares held under HKSCC Nominees before book-close date) 每手港幣 1.8 元 (於截止過戶前以香港結算代理人公司名義過戶登記之股票)
Handling /Collection of Cash /Scrip Dividends 處理 / 代收現金股息 / 股票股息	: 0.25% of the dividend amount (minimum HK\$30) 股息之0.25%(最低收費港幣 30元)
Handling /Collection of Bonus Shares 處理 / 代收紅股	: Waived 豁免
Share Registration (for each stock) 股份轉名(單一股票計)	: \$5 per lot (\$50 per share) 每手港幣 5元 (每隻收費港幣 50元)

Handling of Rights Issue / Rights or Warrants Exercise 處理供股權 /行使供股或認股權	: HK\$ 1 per lot, plus HK\$ 50 handling fee 每手港幣 1元, 加手續費港幣 50 元
Tendering Shares Under a Takeover Bid 在收購要約下提交股份	: 0.25% of the transaction amount (minimum HK\$100) 交易金額之0.25%(最低收費港幣 100元)
Handling Share Consolidation /Split 處理股份合併/分拆	: Waived 豁免
Custodian Fee for CCASS Securities 中央結算證券託管費	: \$0.015 per lot monthly 每月每手港幣 0.015元
Custodian Fee for OTC Securities 場外交易證券託管費	: 0.04% per annum on Principal Value (for OTC bond, charged quarterly) 場外債券本金金額之0.04%(每年計)(按季收取)

Other Services 其他服務

Client's Deposit Interest Rates 客戶存款利息	: 0.025% per annum (HKD500,000 or above) 年利率0.025% (港元500,000或以上)
Lending Rate of Margin Account 保證金帳戶借貸利息	: HSBC Prime rate per annum + 3.5% 年息為匯豐銀行最優惠利率+ 3.5%
Overdue Interest of Cash Account 現金帳戶逾期利息	: HSBC Prime rate per annum + 7% 年息為匯豐銀行最優惠利率+ 7%
CHATS Charges 電匯交收費	: Per transaction 每宗交易收費 HK\$200
IPO subscription (non-financing)-by eIPO 新股認購(非借貸) - 通過eIPO	: HK\$50 per transaction 每次收費港幣50元
Duplicate Statement Copy 結單副本	: HK\$ 30 for each statement copy 每份結單副本收費港幣 30 元
Reference Letter 財務諮詢函件	: HK\$ 100 per letter 每封函件港幣 100 元
Stock Segregated Account Service Fee (With CCASS Statement) 獨立股份戶口服務費 (附寄中央結算系統結單)	: HK\$ 100 monthly 每月收費 100 元